



National LTAP Association Operations Manual

2014

Prepared by members of the National LTAP-TTAP Association

Reviewed and accepted by the NLTAPA Executive Committee on June 24, 2014.

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NLTAPA OPERATIONS MANUAL

June 2014

1. BACKGROUND

The National Local Technical Assistance Program Association (NLTAPA) is an independent, not for profit, organization comprised of 58 LTAP and TTAP member centers in the US and its territories. The Association's main objectives are to build awareness about LTAP in the transportation community, assist FHWA with developing strategies for the Program, and build the capacity of each Center to best meet the needs of its customers. The NLTAPA member centers are represented by an Executive Committee (EC) composed of up to eight members from each of the eight geographical regions, and five officers – President, President-Elect, Vice President, Treasurer and Secretary. The following document outlines the general duties and guidelines of the National Association. General questions and answers about NLTAPA can be found on the Association's website at NLTAPA.org.

2. NLTAPA CONSTITUTION AND BYLAWS

The Association is governed by its Constitution and Bylaws [herein referred to as “Bylaws”]. It codifies the purpose of the Association and how it is organized, and governed. The Bylaws address Association activities, membership policies, voting procedures, meetings, election and duties of officers, and responsibilities of executive committee members and regional representatives. The complete Association Bylaws are available on the NLTAPA.org website.

3. PLANNING CALENDAR

The Secretary, in consultation with the EC, maintains a planning calendar to help conduct the business of the Association. Dates include meetings of the EC, NLTAPA membership, NLTAPA partners, and dates of specific tasks to be completed for Association business (like choosing a Nominating Committee, deadline for ballots to members, etc.) The Association's annual planning calendar is available at NLTAPA.org.

4. DUTIES OF OFFICERS AND REGIONAL REPRESENTATIVES

Duties of the NLTAPA Officers.

Definitions, terms of office, duties and removal of the NLTAPA Officers are identified in the Association's Bylaws, Article 4 *Officers*. Duties of the officers are summarized below.

The President is responsible for:

- Oversight of all NLTAPA business;
- Chairing all meetings of the EC and the membership, and developing meeting agendas;
- Fixing the hour and location of EC and membership meetings, including planning and holding an officers retreat within a few months of the summer business meeting;
- Being the primary representative of NLTAPA to FHWA or external entities;
- Preparing and presenting an annual report to the membership at the summer business meeting;
- Soliciting input from the Centers that are present on preparation of the next year's budget and work plan;
- Selecting a minimum of 3 persons from the general membership to serve on the audit team at the summer business meeting;

- Organizing the fall Officer's Retreat and face-to-face EC meeting;
- Familiarizing EC members with the NLTAPA Operations Manual and the LTAP-TTAP Roles and Responsibilities document at the fall Officer's Retreat and coordinating a review of these documents with FHWA every five years; and
- Other duties as called for in the Association Bylaws or as deemed necessary by the EC to achieve the purpose of NLTAPA.

The President-Elect is responsible for:

- Chairing the Annual LTAP-TTAP Conference Planning Committee;
- Serving as Parliamentarian (or delegating this task) at meetings of NLTAPA; and
- Being the primary representative of NLTAPA to FHWA's LTAP-TTAP Strategic Planning Committee and Clearinghouse Steering Committee; and
- Participating in the fall Officer's Retreat.

The Vice-President is responsible for:

- Preparing the NLTAPA annual budget and work plan for review at the December EC meeting;
- Presenting the budget and work plan to the members at the winter business meeting;
- Leading the NLTAPA's Partnership Work Group activities; and
- Participating in the fall Officer's Retreat.

The Secretary is responsible for:

- Ensuring that minutes of all EC and membership meetings are recorded, approved by the EC, and posted on the NLTAPA.org website;
- Notifying the membership of posted minutes;
- Administering election procedures, whether electronic, voice, or written ballots, and reporting the results of votes to the membership;
- Maintaining a planning calendar in consultation with the NLTAPA EC;
- Maintaining NLTAPA historical documents; and
- Participating in the fall Officer's Retreat.

The Treasurer shall be the fiscal officer for the Association and is responsible for:

- Invoicing membership for dues, providing instructions for payment, collecting dues, maintaining custody of the funds of NLTAPA, and disbursing funds at the direction of the EC;
- Maintain a current list of NLTAPA member centers;
- Preparing and submitting quarterly written financial reports to the EC and an annual financial report to the membership at the annual summer business meeting;
- Presenting the books and any supporting documentation and receipts needed to complete the audit review at the summer business meeting;
- Reporting on May 1 each year to the EC the names of any members in arrears;
- Maintaining all fiscal and financial records for NLTAPA and shall forward complete records to the succeeding Treasurer; and
- Participating in the fall Officer's Retreat.

Further details on the NLTAPA Financial Management policy are included in section 9 of this manual.

The Past-President is responsible for:

- Participating in the fall Officer's Retreat, as requested;
- Chairing the officer nominating committee appointed by the President; and
- Serving as a mentor for EC members and Officers, as requested.

Duties of Regional Representatives.

The process for election, terms of office and removal of regional representatives is addressed in the Association's Bylaws, Article 5 *Regional Representatives*. Duties of the regional representatives are summarized below.

Each regional representative is responsible for:

- Being an active participant on the EC;
- Chairing an NLTAPA work group if one is assigned;
- Being prepared to participate in meetings and conference calls (or designating a proxy in writing to the Secretary);
- Communicating with his or her region about NLTAPA business;
- Setting a regional meeting date that does not conflict with other LTAP-TTAP regional meetings or national meetings of partners, and informing the EC and FHWA program managers of the regional meeting date as soon as it is decided;
- Presenting an NLTAPA update at his or her regional meeting;
- Preparing regional highlights for the annual report to be submitted to the Communications Work Group by June 1; and
- Regularly updating his or her regional and work group pages on the NLTAPA.org website.

5. NOMINATION AND ELECTION PROCESS

Regional Representatives.

Region shall select an individual directly responsible for Center operations at one of its Active Member Centers to represent the Region on the NLTAPA EC. The process for selecting Regional Representatives shall be defined by each Region by mutual agreement. Regional Representatives shall serve on the EC for a term of three years, beginning and ending at the close of the annual summer business meeting of NLTAPA.

Appointed Officers.

The positions of Secretary and Treasurer are appointed by the Executive Committee.

Elected Officers.

Article 7 of the Bylaws addresses the election of NLTAPA officers and the formation and guidelines for the Nominating Committee. Each LTAP-TTAP member center in good standing is entitled to submit one ballot. Ballots are only considered valid for Centers that have paid their annual membership dues *prior* to voting.

Duties of the Nominating Committee.

The President of NLTAPA shall with advice from the EC, appoint a Nominating Committee each year by January 1. The NLTAPA Past-President shall chair the Nominating Committee. The EC shall create procedural guidelines for the Nominating Committee with advice from the membership. Detailed "*Nominating Committee Guidelines*" including a sample nomination form and ballot are provided in [Appendix A](#) of this document, and posted on the NLTAPA.org website. Duties of the members of the Nominating Committee are include in Section 7 of the Bylaws, summarized below.

Members of the Nominating Committee are responsible for:

- Serving on the Nominating Committee for one year;

- Soliciting nominations for Vice President or other vacant officer positions using an open and unbiased process;
- Informing nominees of their prospective nomination, providing a list of duties and expected time commitment for each officer level they will serve, and obtaining permission from each nominee to be placed in consideration;
- Gathering sufficient information about each candidate to ensure the membership is able to make an informed choice;
- Establishing a slate of candidates for all open officer positions, including qualifications, and presenting it to the EC by April 1;
- Distributing final slate of candidates and qualifications to NLTAPA member centers by June 1; and
- Providing a final report summarizing the Nominating Committees activities to the NLTAPA President and EC prior to the summer business meeting.

Additional Nominating Committee responsibilities:

- On June 1, or the first business day thereafter if June 1 is not a business day: The NLTAPA Secretary electronically distributes the ballots with voting instructions with a closing date on or before June 30. Write-in candidates are accepted;
- The NLTAPA Secretary informs the EC, the Nominating Committee, and the membership, of the outcome within two days of the close of voting; and
- New officers are installed at the close of the Association business meeting at the summer LTAP-TTAP Conference.

6. ORIENTATION OF NEW EXECUTIVE COMMITTEE MEMBERS

Orientation Resources.

The NLTAPA EC maintains an *Orientation Guide for NLTAPA Executive Committee Members* document that outlines the roles of new EC members, the mentor program, expectations as regional representatives and work group chairs, and provides a sample welcome letter to new EC members. This NLTAPA Operations Manual, FHWA's Roles & Responsibilities document, and the History of NLTAPA are key resources required to be reviewed by all new EC members. Information on the orientation program is available for download on the Professional Development Work Group webpage of the NLTAPA.org website.

New Officer Orientation.

NLTAPA hosts a face-to-face Officers Retreat held a month or two after the installation of new officers at the Association's summer business meeting. Retreat attendees include current officers and invited guests. In order to maintain continuity of leadership, the purpose of the retreat is to provide an overview of the operations of the Association, receive guidance from the Past-President, and review items currently being considered by the Association. Following the Officer's Retreat, at the fall EC meeting, the President will remind the EC of the available resources in this Operations Manual and FHWA's LTAP-TTAP Roles and Responsibilities document. The EC will formally review and update this Operations Manual at the fall meeting every 5 years; or sooner if necessary.

New Regional Representative Orientation.

As part of the Orientation Program, the President assigns a mentor to each new EC member. Regional representatives are required to review the aforementioned orientation materials and discuss any questions with his or her mentor. The fall face-to face EC meeting is a prime opportunity to resolve any remaining uncertainties about the Association and its processes.

7. NLTAPA WORK GROUPS

The main objectives of the Association are to build awareness about LTAP-TTAP in the transportation community, assist the FHWA's Office of Technology Partnership Programs (TPP) with developing strategies for the Program, and build the capacity of each Center to best meet the needs of its customers.

Work Group Management.

The Association manages five work groups:

- **Communications:** Encompasses both internal and external communication efforts; and ensures collaboration between NLTAPA and Centers, strong communications amongst the member centers of the Association, and represents Centers to FHWA, our external partners, elected officials, other national Associations and the transportation community.

The Communications work group manages the NLTAPA website as a key marketing and communications tool for the Association.

- **Partnerships:** Develops, nurtures, and evaluates national and international NLTAPA business partnerships that benefit member centers.
- **Training Resources:** Identifies LTAP-TTAP training needs, current available resources, opportunities for new products, development strategies, and funding sources for new products.
- **Safety:** Helps Centers implement training and safety initiatives to reduce the risk of roadway fatalities and serious injuries across the country; and provides Centers with the resources to take their skills beyond the scope of their current safety program.
- **Professional Development:** Provides services and resources to improve the knowledge, skills, and abilities of LTAP-TTAP Center leaders and staff.

These work groups, with members from NLTAPA elected representatives, the general membership, and FHWA, produce most of the Association's tangible results.

NLTAPA/FHWA Joint Programs.

Two of the NLTAPA work groups work alongside FHWA TPP in coordinated program efforts. These are the *Joint Safety Program* and the *Joint Professional Development Program*. Each joint program is led by a *Joint Leadership Team* consisting of representatives from NLTAPA and FHWA TPP. It is recommended that at least two members from the respective NLTAPA work groups participate on each Joint Leadership Team.

Joint Safety Program

The Joint Safety Program works to establish the LTAP-TTAP Program as a leader in road safety, both nationally and within each State. Joint Safety Program members work closely with FHWA and other Associations in a cooperative effort to spearhead transportation safety initiatives and improve local road safety culture. By strategically focusing our safety efforts, we bring greater alignment to our work and ensure we use our resources in an efficient and effective way.

Joint Professional Development Program

NLTAPA and FHWA work together to provide briefing, orientation, and other services to improve the knowledge, skills, and abilities of LTAP-TTAP Center leaders and staff through a coordinated

professional development program. The goal of the LTAP-TTAP Joint Professional Development Program is to enhance operational knowledge of Center leaders and staff by creating an environment of continuous, coordinated, and comprehensive support and training. The Joint Professional Development Leadership Team maintains an informational *Joint Professional Development Program* document that outlines expected outcomes, goals, projects and responsibilities of each managing partner. This document is available on the NLTAPA.org website.

The Joint Professional Development Program also administers NLTAPA's Awards Program. The *National Program Achievement Award* is presented by NLTAPA to an individual (or individuals) in recognition of their dedication, leadership, and effectiveness in promoting the goals and purposes of the national Local and Tribal Technical Assistance Programs. Nominations are solicited from the membership annually.

The respective NLTAPA work groups share the responsibilities of implementing and monitoring the NLTAPA/FHWA Joint Programs. Joint Program members collaborate to clearly define the roles, responsibilities, and goals of the Association. If additional Joint Programs are needed or requested in the future, the NLTAPA EC and FHWA TPP will consider those opportunities at that time.

Work Group Charters, Missions and Business Plans.

Charters, missions and business plans are developed for each NLTAPA work group. The Joint Program work plans are developed in concert with FHWA TPP and NLTAPA work group representatives. The plans are reviewed and change annually in connection with developing each work group's budget, and are presented to the general membership for approval at the winter business meeting. Updated copies of each work group's business plan can be found at their respective NLTAPA.org web pages.

Selecting a Work Group Chair.

Each NLTAPA work group, with consultation from the EC, selects a chair or co-chairs. It is recommended that the chairperson or one of the co-chairs be a regional representative currently on the EC in order to reduce travel costs to attend face-to-face meetings.

Reporting on Activities.

Work groups post their activities, scheduled meetings, and minutes online at the work group's web page and through reports at the winter and summer NLTAPA business meetings. By June 1, each work group is requested to submit a brief summary highlighting the group's activities in the previous year to be included in the NLTAPA Annual Report. Work groups also report at monthly EC meetings and conference calls open to the general membership.

8. PARTNERSHIP AND AFFILIATE MANAGEMENT

NLTAPA Guidelines for Partnering.

Partnering activities allow NLTAPA to leverage resources among organizations with similar goals and objectives. Entering into a partnership agreement is for the benefit of the members of both organizations and those benefits are spelt out in each respective agreement.

Currently, NLTAPA maintains three national level formal partnerships with the American Association of State Highway and Transportation Officials (AASHTO), the American Public Works Association (APWA),

and the National Association of County Engineers (NACE). Details on each national level partnership agreement are available under “Partnering” on the NLTAPA.org website.

Partnering agreements with NLTAPA are created through an established process. Before entering into a partnership agreement, a number of items need to be explained to the possible partner:

- LTAP-TTAP Centers at the National or local level cannot endorse one organization over another.
- LTAP-TTAP Centers provide assistance and training to local agencies and transportation agencies and cannot promote any specific product or process.
- Each LTAP-TTAP Center operates as an independent center and a partnership with one center is not an inclusive agreement with the National LTAP-TTAP Association (NLTAPA).
- A partnership with the NLTAPA should only be entered with organizations that have a national influence and will benefit a significant number of Centers.
- It shall be understood that Centers are independent organizations. All Centers are not required to endorse or support all partnership agreements, either local or national agreements.
- Entering into a partnership agreement is for the benefit of the members of both organizations and those benefits must be clearly defined in the partnering agreement.

In developing a partnership agreement, each organization should:

- Have a list of goals, stating objectives of the agreement and how each partner’s members benefit.
- Include the action items, completion dates, responsible person, and guidelines for frequency of contact, activities to pursue, etc.
- Establish a liaison between the two organizations. The liaisons should communicate on a regular basis and meet when possible to discuss mutual issues of concern and opportunities for partnering in training, technical assistance or other area of mutual benefit. Each liaison should report regularly to their respective executive committees, boards or officers.
- Realize that some organizations will change directors or management on an annual basis. Partnership agreements with such organizations will need to address those changes if these individuals are named in the agreement.
- Establish a date by which the agreement will be reviewed by both parties, insuring that the needs of each are being met.

Partnership agreement documents should be developed in the following format:

- Identify the partner organization and NLTAPA; and each organization’s mission.
- Identify the liaison by position, title or name.
- Establish guidance as to when the liaisons will meet and the authority of those liaisons (i.e., conferences, annual meeting, and committee meeting).
- Identify when the agreement needs to be reviewed and updated.
- Signature line for both parties.
- Cover letter should only be one page and should be general in nature.

Internal Partnership Process.

The following process for development of new partnerships is managed by the Partnerships Work Group.

Step 1 - Discovery - Investigate current relationships individual Centers have with potential national partners.

Step 2 - Assessment – Review Center replies to requests for more information and assess areas of potential interaction between the two Associations.

Step 3 - Prepare NLTAPA Statement of Expectations.

Step 4 - Review Statement of Expectations FROM potential partner.

Step 5 - Keep Centers informed of the development status and progress of the proposed partnership and measure the extent of Center support prior to entering into formal negotiations.

Step 6 - Assess partnership potential and areas of interest/agreement. With feedback from the Partnerships Work Group chairperson, the NLTAPA EC makes a determination as to whether or not to recommend formalization of an agreement.

Step 7 - Submit final version of NLTAPA's Statement of Expectation and proposed Partnership Agreement to the potential partner.

Step 8 – Formalize and sign agreement with both parties once all the concessions are agreed upon.

NLTAPA Partnership Liaison Guidelines.

The following are guidelines provided for each of the partnership liaisons. Each new liaison is asked to sign this document, and it is maintained by the Partnership Work Group chairperson.

Strategic partnerships between NLTAPA and other agencies and organizations help to ensure and maintain a strong and viable LTAP-TTAP program. The relationships created through partnering must benefit the entire LTAP-TTAP community and all partnering organizations. Partnership liaisons fulfill a vital role in building and maintaining healthy, mutually beneficial relationships with partners; they are the point of contact between the NLTAPA EC, the LTAP-TTAP Community, and the partner.

To help liaisons fulfill the many obligations and expectations of their positions, the following guidelines have been developed. A signature below indicates an understanding and willingness to accept the role of liaison:

- By January 1, the liaison submits all requests for time and/or space at the summer conference. Liaisons need to encourage partners to attend and be actively involved in sessions at the LTAP-TTAP Conference and summer business meeting.
- By June 1 of each year the liaison provides a written report to the chair of the Partnerships Work Group. The report outlines activities that have been completed in that year, and lists activities planned for the coming year.
- Each liaison is asked to give a short overview of the written report that was submitted to the Partnerships Work Group at the summer conference.
- The liaison provides the EC with requests for consideration prior to the fall face-to-face EC meeting.
- Liaisons need to encourage partners to attend and be actively involved in the winter business meeting.
- In the event NLTAPA or the LTAP-TTAP community needs assistance or information about a partnership, the liaison may need to attend one of the monthly NLTAPA conference calls and/or write a short article that can be published.
- Each liaison meets with the partner at least once a year to review tasks and activities from the previous year and to plan mutually beneficial tasks and activities for the coming year. The purpose of the meeting is to maintain mutual satisfaction with the partnership arrangement.
- If the partner holds an annual conference for their organization the liaison should be actively involved. Involvement can include presenting at the conference, meeting with the governing board, or similar activity at their conference. These activities should be included in the annual report to the Partnerships Work Group. With prior approval, NLTAPA can assist with travel costs associated with liaison participation.
- Liaisons need to ensure the involvement of other LTAP-TTAP Centers in partners' annual meetings and/or other activities included in the annual report.

Signature of Liaison

Date

Partnered Organization

Ending a Partnership.

Both parties in an agreement should continually review the overall benefit of the relationship for their members. If either partner desires to end a current partnering agreement, the Partnerships Work Group chairperson should make every effort to correct the deficiencies with the partner organization. If an updated partner agreement cannot be agreed upon, a majority vote of the NLTAPA EC can dissolve the formal relationship. The NLTAPA President will then send a formal letter of dissolution to the partnership contact.

Working with FHWA as a Partner.

Members of NLTAPA and the EC work closely with many divisions of FHWA, especially the LTAP-TTAP program managers of FHWA TPP. NLTAPA works in concert with FHWA TPP to help guide the overall program. Through TPP, FHWA provides direct services, support and stewardship to LTAP-TTAP, including assistance for national NLTAPA activities, annual conferences and meetings. The Association is represented on FHWA's Strategic Planning Committee, which guides the strategic direction of the Program and develops reporting tools; as well as FHWA's Clearinghouse Steering Committee, which helps guide and evaluate LTAP-TTAP Clearinghouse services. These connections help the Association leverage available resources and more efficiently respond to Center needs.

The FHWA TPP also funds the LTAP-TTAP Clearinghouse that provides support services for LTAP-TTAP Centers, such as program information, communication forums, free publications and materials, resource and trainer databases, seminar room access for conference calls, and more. FHWA and Clearinghouse staff participate in EC meetings and work group conference calls. More information on their program support and the Clearinghouse is available online at LTAP.org.

Affiliate Members.

The Association Bylaws have a mechanism for creating affiliate members in Section 3.1.3, *Affiliate Members*. Affiliates would be organizations with which NLTAPA could collaborate on specific programs or products but not make an official partner. Affiliate Members are not able to vote in NLTAPA elections or hold elected office in the Association. If necessary, the EC may develop criteria for affiliate membership; however, NLTAPA currently has no affiliates.

9. LTAP CONFERENCE PLANNING AND MANAGEMENT

NLTAPA manages an annual summer LTAP-TTAP Conference open to national and international participants. This conference is designed to enhance communications among Centers and stakeholders, develop Center staff competencies, advance technology transfer and technical assistance, and to report on matters of interest to NLTAPA members.

The annual conference is hosted by an NLTAPA Region. The host region is selected by the NLTAPA EC. Although the cycle of host regions is set on a rotating basis, any region or Center can submit a proposed host site to the EC for consideration in any year. The NLTAPA President-Elect serves as the Chairperson of the Conference Planning Committee and has responsibility for managing the budget, contracts, conference registration website; and for ensuring that the conference program meets the needs of the national membership. Conference planning committee membership is determined by the NLTAPA President-Elect and may include NLTAPA ex-officio members.

A separate comprehensive *Conference Planning and Management Guide* addresses conference finances, hosting regions, sponsors, committee requirements, planning tools, and historical data. This guide is available for download on the NLTAPA.org website.

10. NLTAPA MEETING PLANNING

Association Winter Business Meeting.

NLTAPA holds at least two face to face business meetings annually. One is a winter business meeting conducted in January. In recent years, the winter business meeting has been held in conjunction with the TRB Annual Meeting in Washington D.C. If held in the Washington D.C. area, the FHWA TPP reserves the meeting room(s) in consultation with the NLTAPA President. NLTAPA is responsible for arranging and paying for catering, and any additional A/V equipment not provided with the business meeting rooms. The NLTAPA President develops the meeting agenda in consultation with the EC and FHWA TPP.

Association Summer Business Meeting.

The NLTAPA summer business meeting is held in conjunction with the annual LTAP-TTAP Conference. There are two sessions of the general membership meeting and an EC meeting held during the annual conference. The NLTAPA President prepares the agenda for the EC and business meetings; and must communicate any needs (times, room capacity, catering, etc.) to the Conference Planning Chairperson at least 60 days prior to the opening of the conference. The Conference Planning Committee develops the agenda for the LTAP-TTAP Conference.

Regional LTAP-TTAP Meetings.

NLTAPA is represented by eight geographical regions. It is recommended that each region hold an annual meeting. It is suggested that the regional meetings be completed in the spring before the annual summer conference. Each region will attempt to select a meeting date that does not conflict with other LTAP-TTAP regional meetings or national meetings of partners. Each region is asked to inform the EC and FHWA of their chosen regional meeting date as soon as it is decided and have it added to the planning calendar.

EC Meetings and Conference Calls.

The NLTAPA President coordinates additional EC meetings throughout the year, including a face-to-face fall EC meeting and Officer's Retreat, and monthly conference calls. The Clearinghouse's seminar room is available to schedule for web and teleconference use. The NLTAPA.org website is used to post upcoming business and EC meetings, agendas, and minutes.

All EC meetings and conference calls are open to NLTAPA members and our partners.

There may be instances where matters of a highly personal or sensitive nature may need to be brought to the attention of the EC. Any participating member of an EC meeting can ask that a discussion be temporarily tabled. When this request arises, the topic will be tabled immediately and the NLTAPA President will be privately made aware of the details of the situation. The President will update the EC on a future meeting, or *Executive Session* if necessary, to address the tabled discussion. If there is a call for Executive Session, this meeting will be held exclusively for NLTAPA officers and EC members; and minutes will not be kept.

Work Group Meetings and Conference Calls.

NLTAPA Work Group chairpersons schedule monthly or bi-monthly conference calls with their committee members. The Clearinghouse's seminar room is available to schedule for web and teleconference use. The NLTAPA.org website is used to post upcoming work group meetings, agendas, and minutes. All work group meetings should be added to the NLTAPA planning calendar.

11. NLTAPA FINANCIAL MANAGEMENT

Duties of the NLTAPA Treasurer.

The Duties of Treasurer are outlined in the NLTAPA Bylaws, Section 4.7. The Treasurer is appointed by the NLTAPA EC, and serves at their will, without any fixed term or term-limit.

The Treasurer is the fiscal officer of NLTAPA. The primary responsibilities of the Treasurer are to:

- maintain Association funds and financial records;
- handle Association financial transactions, including collection of annual dues; and
- report to the membership and timely file required tax returns.

Banking, Bookkeeping and Internal Audits.

The NLTAPA Treasurer maintains the books for the organization. The books are open to the membership for review at any time upon request. NLTAPA funds are currently held at US Bank. There are four accounts:

- Operations Checking
- Operations Reserve
- Conference Checking
- Conference Reserve

The Association invests 1/5 of the Operations and Conference reserves on an annual basis in a five year certificate of deposit (CD). The CD's investments are made on an annual basis upon recommendation from the Treasurer as to where the Association may obtain the best interest rate, with concurrence from the EC.

Current CDs are held at:

- Opened 2013: Community Bank, Frazeyburg, OH – 1/5 of Operations and Conference reserves
- Opened 2014: US Bank – 1/5 of Operations and Conference reserves

The Treasurer and one other EC member, as appointed, have sole signature authority on all accounts. The Treasurer also holds a VISA debit card with access to the Operations account. The Treasurer will be the sole designee to deal with the Association's financial transactions, within their scope of authority as outlined in the Bylaws. The other appointed EC member who is also a signatory on all accounts is such for the purpose of providing emergency coverage in the event the Treasurer becomes incapacitated or unavailable for an extended period of time which would impact NLTAPA operations. The co-signatory has the ability to view all accounts online at any time.

An audit of the Association's accounts shall be conducted annually during the summer business meeting. The NLTAPA President will request a minimum of three volunteers from the general membership to review the books and submit a brief report to the membership before the conclusion of the summer business meeting.

Treasurer's Reports.

The Bylaws require the Treasurer to provide financial reports to the EC quarterly and to the general membership bi-annually at the summer and winter business meetings. The Treasurer reports to the EC monthly at the regularly scheduled conference calls. The Treasurer's reports are posted on the NLTAPA.org website bi-annually after the general membership meetings and are available upon request at any time.

NLTAPA Membership Dues.

NLTAPA charges a membership fee to all Centers annually. Member centers and membership dues are defined in the NLTAPA Bylaws, Section 3.2 *Membership Dues*. Dues are requested at \$500 per Center per year. Invoices for member dues are mailed out by September 30 of each year for collection of the following calendar year's dues. Centers failing to pay the dues assessment by April 30 shall be considered "in arrears". The Treasurer shall notify any Centers that are in arrears by May 1.

The Internal Revenue Service.

The National Association of Transportation Technology Transfer Centers, EIN 39-1735773, doing business as the National LTAP-TTAP Association or NLTAPA, is a designated tax-exempt non-profit under section 501(c)(3) of the Internal Revenue Code. The Treasurer prepares and submits required IRS filings annually. A sample of the Association's W-9 is provided in [Appendix B](#) and is available upon request.

NLTAPA Reserve Policy.

The Association maintains a reserve for each of its two main accounts – Operations and Conference. The NLTAPA reserve policy follows.

Definitions

Reserve is defined as the accumulated net surpluses of the Association, i.e., the unrestricted net assets. The primary purpose of the reserve is to ensure the Association has adequate funds available in the event of an unanticipated catastrophic event or business situation that reduces reserve and threatens the financial viability of the Association, or requires an individual member of the Association to otherwise suffer a financial loss on a member-hosted Association event. A secondary purpose of the reserve is to support special projects in the event a single or multiple strategic initiatives should surface outside of the annual budgeting process. An initiative is defined as a chance for the Association to invest in an action that will benefit the Association members. Should a strategic initiative surface during the course of the year, an EC member may make a motion to the full executive board to fund such an initiative with reserve.

Operational reserve

The Association currently maintains an Operations reserve of fifty-percent of the annual anticipated revenues from membership dues. The Operations reserve is currently set at \$14,500 annually.

Conference reserve

The Association maintains a Conference reserve fixed at \$30,000.

In the event the reserves are not met

In the event the Operational or Conference reserves are not achieved and said reserves fall below the stated values, the Treasurer will notify the EC of the variance at the next EC meeting. The EC will recommend necessary and sufficient corrective actions to pursue a recovery plan to meet the stated value within two budget cycles. If reserves fall below 75% of its stated value, the Treasurer will recommend to the EC at the next EC meeting a cap on any new-project funding or special initiative funding through the reserves.

LTAP-TTAP Conference Accounting and Revenues.

Beginning in 2014, NLTAPA will handle all financial transactions and maintain financial records and reports for the annual LTAP-TTAP Conference. As Conference Planning Chairperson, the NLTAPA President-Elect establishes the conference budget and coordinates with the Treasurer to manage all conference financial

transactions, signed contracts, and registration fees. The Conference Chairperson updates the EC monthly and presents a final conference budget to the EC at its fall meeting. The conference shall always be planned to cover all expenses associated with the event and return minimal post-conference profit to the Association.

NLTAPA Travel Reimbursement Policy.

NLTAPA supports member travel as budgeted in the annual EC work plan. Reimbursable activities may include sending an NLTAPA Liaison or representative to a partner meeting or for EC members to attend the Officer's Retreat or fall meeting. Funded travel must either appear in the member-approved annual Association budget or approved by the EC prior to the departure date.

NLTAPA provides approved travelers reimbursement for eligible travel expenses. In order to receive reimbursement, travelers must first submit a completed NLTAPA Meeting Report Form and then prepare an itemized list of all expenses claimed, with copies of all supporting receipts attached. Travel reimbursement claims are submitted via mail, email or fax to the NLTAPA Treasurer.

NLTAPA Treasurer

Victoria F. Beale, JD, SPHR
Ohio LTAP Center Director
Ohio Department of Transportation
1980 W. Broad St., Mail Stop #1240
Columbus, OH 43223
Phone: 614-466-3129, Fax: 614-887-4736
Email: victoria.beale@dot.state.oh.us

Eligible Travel Expenses for Reimbursement.

Current rates for reimbursable expenses such as mileage, hotel, and per diem can be found at the U.S. General Services Administration website at: www.gsa.gov.

Airfare or Mileage

The most economical mode of transportation must be chosen. If you fly, only economy class or coach tickets are reimbursed. Ticket receipt is required. If you drive your personal vehicle, mileage are reimbursed at the federal rate at the time of travel. When driving your personal vehicle, you must maintain the minimum levels of liability coverage for your resident state. NLTAPA will not be responsible for liability resulting from any accidents.

Parking

Airport, hotel and other travel related parking fees are eligible for reimbursement. Receipts for parking are required.

Airport Transfer/Rental Car

Receipts for taxis, shuttles, transit and/or rental cars (including gas receipts) are required if such expenses are deemed necessary when traveling. If you use a rental car, only compact or economy class car rentals are reimbursed. You must refill the fuel tank prior to returning the car to the rental agency. NLTAPA does not reimburse for fuel charges imposed by the rental agency at the time of pick up or drop off.

Hotel

Reservations should be made at the event specified hotel at the negotiated rate, if possible. NLTAPA does not reimburse for telephone, internet, pay per view, mini-bar or other incidental charges. Itemized hotel receipt is required.

Per Diem

Meals and incidentals (MI&E) are reimbursed at the federal rate at the time of travel. The per diem amount is reduced by any meals provided by the meeting/conference hosts. A copy of the meeting agenda must be submitted with the reimbursement request form.

12. COMMUNICATING WITH MEMBERS

The Association communicates with its members in a variety of ways. A majority of the communication to the general membership occurs through the NLTAPA website and postings on the LTAP-TTAP Forums.

NLTAPA Website.

The Association has its own website, www.nltapa.org, currently hosted by the *Media Temple* web hosting service. The website is managed and paid for by NLTAPA and the Communications Work Group. The Communications Work Group budgets for annual website maintenance fees and coordinates host server payments with the NLTAPA Treasurer.

Website Content

The NLTAPA website contains pages for each of the Association's work groups, each LTAP-TTAP region, and the EC. At a minimum, site pages contain meeting minutes, meeting announcements, work group members and contact information, work plans, and budgets. The Bylaws, Annual Reports, Planning Calendar, and LTAP-TTAP Conference are also posted. NLTAPA officers, work group chairs and regional representatives provide website content and update their own pages.

Update Procedures

NLTAPA continually works to improve the content, appearance and functionality of the NLTAPA website. The Communications Work Group is responsible for overseeing general updates to the NLTAPA website, and for monitoring routine updates made by the work group chairs and regional representatives. At least twice a year, at the beginning of each calendar year and mid-year, the Communications Work Group will review each page on the website and report to the EC what information needs to be updated before the Association business meeting. Additional update requests can be made by the Communications Work Group on the monthly EC conference calls if necessary. The presiding NLTAPA President will delegate the responsibility for providing the content for any needed updates. Updates beyond the scope of the administrative access of officers, work group chairs, and regional representatives should be sent to the Communications chairperson, who will work with the Website Administrator to make the changes.

LTAP-TTAP Discussion Forum.

The LTAP-TTAP Forum is a series of archived discussion groups; a service provided by the FHWA LTAP-TTAP Clearinghouse on the LTAP.org program website. The discussion forums offer Centers a place online to exchange information and collaborate on ideas in a safe environment. Clearinghouse staff can provide information on how to use the forums most effectively for your needs. The site also includes answers to frequently asked questions on discussion forum use and management.

Center staff can sign up for a variety of forum topics they would like to follow. Anyone can join the General Discussion forum. Participation in other discussion groups must be pre-approved by that forum's administrator or chairperson. Work group chairpersons and regional representatives are the administrators of their respective forums; and are urged to promote use of the forums for their committee's communications.

FHWA Seminar Room.

FHWA provides access to web and teleconference services for NLTAPA and LTAP-TTAP meetings and events through the Seminar Room. *Adobe Connect* is the current web conferencing platform used for the Seminar Room; and can be scheduled for web meetings, eLearning, and webinars. Clearinghouse staff can provide information on how to use all of the Seminar Room's capabilities. The LTAP-TTAP Clearinghouse manages a scheduling calendar on the LTAP-TTAP Program website at LTAP.org for Seminar Room reservations. Members must be logged into the Centers Only area of the website to make a Seminar Room reservation.

NLTAPA Reports to the Membership.

The President, with assistance from the Communications Work Group, produces an Annual Report for Association members for distribution at the NLTAPA summer business meeting. The Annual Report typically contains a snapshot of the previous year's accomplishments and activities, priorities of the incoming president, and reports from each of the regions and work groups. The Communications Work Group chair works with the EC to collect the necessary information for the Annual Report by June 1. The Communications Work Group is responsible for providing printed copies and/or an electronic file of the Annual Report by the first of the two summer business meetings. Printing costs are covered by the LTAP-TTAP Conference budget. Association Annual Reports are posted on the NLTAPA.org website.

The NLTAPA EC, work groups, and committees typically provide written reports or PowerPoint presentations to members at the winter and summer business meetings. Membership business meeting agendas are posted on the Association website and LTAP-TTAP Forum by the President at least one week prior to the meeting. Once the EC and membership business meeting minutes are approved by the EC, the Secretary shall post the minutes on the NLTAPA website and LTAP-TTAP Forum. Treasurer and officer reports and supporting documentation are also posted.

Other Opportunities for Communicating with Members.

NLTAPA works with the FHWA LTAP-TTAP Clearinghouse to compile Center best practices throughout the year. Best practices are collected on workshop content, presentations, publications, alternative funding arrangements, and Center performance reporting. Region representatives are encouraged to include a "Center Best Practices" discussion at their regional meetings. It is hoped that region meetings can serve as a forum that fosters Center input back to NLTAPA.

Member Q&A.

The "About" section of the NLTAPA.org website includes a section of questions and answers regarding the Association and how it operates. It provides new Center staff and existing members a quick refresher on the Association's objectives, management and operations. Members of the NLTAPA EC would also be willing to answer additional member questions at any time.

13. NLTAPA POLICY ON EDUCATION AND ADVOCACY

Education and advocacy are effective ways for non-profits to create awareness about their programs without lobbying. Education and advocacy are important tasks of NLTAPA and of the LTAP-TTAP Centers at any time, but especially in advance of reauthorization of the Federal Transportation Bill.

What is Lobbying?

Lobbying is attempting to influence legislators to support or oppose a particular issue, program or piece of legislation.

Direct Lobbying is defined as communication with a legislator, legislative staff or legislative body, or any covered executive branch or other government employee who may participate in the formulation of legislation in regard to your program. This communication refers to a specific piece of legislation and expresses a view on that legislation.

Grassroots Lobbying is defined as an attempt to influence specific legislation by encouraging the public to contact legislators about the program or legislation. Grassroots lobbying encourages the recipient of the communication to take lobbying action on behalf of your program, a call to action.

*Although “non-profits” are allowed to engage in lobbying activities to some extent, **federally funded programs are prohibited from lobbying.** Federal funds cannot be used to lobby at federal or state levels unless Congress expressly authorizes it.*

The Association’s 501(c)(3) status can be in jeopardy if it partakes in any lobbying activities.

What is Advocacy?

Advocacy is educating and creating awareness among legislators and the general public of issues facing the transportation community and the importance of aligning public policy to address local road needs. Advocacy does not endorse or oppose any specific legislation regarding the program.

The following activities are considered advocacy, not lobbying:

- Providing technical assistance or advice to a legislative body or committee in response to a written request;
- Making available nonpartisan analysis, study or research;
- Providing examinations and discussions of broad, social, economic and similar problems;
- Communicating with a legislative body regarding matters which might affect the existence of the organization, its powers and duties, its tax-exempt status, or the deduction of contributions to the organization (the "self-defense" exception); and
- Updating the members of your own organization on the status of legislation, without a call to action.

Advocacy activities are not restricted for non-profits and are a great way to engage policy makers in discussions of issues facing their constituents.

Communication Resources.

Program Briefing Sheets and additional resources are available on the NLTAPA Communication Work Group’s webpage for Center use. It is suggested for Centers to check with their Ethics board before distributing any advocacy materials to their legislature or law makers.

Partner Support.

The Partnerships Work Group coordinates with NLTAPA’s national partner organizations to provide any requested educational materials and data, as they prepare their support documents for reauthorization.

APPENDIX A: NLTAPA Nominating Committee Guidelines

The election of officers is one of the most important duties of the Association because effective work depends in large measure on good leadership. Article 7 of the Association Bylaws addresses *Elections*; and Section 7.1 outlines requirements of the Nominating Committee including creation of the committee, length of service, committee charge, nomination guidelines, and balloting.

Our current organizational structure follows a three-year leadership track and requires the election of a Vice President each year. The Vice President advances to the President–Elect position their second year in office and to the President position in the third year.

Nominating Committee Members.

The NLTAPA President appoints a Nominating Committee each year by January 1; and presents the committee member list to the membership at the winter business meeting. The NLTAPA Past-President chairs the Nominating Committee; additional members include:

- President of the Association – Ex Officio;
- Representative of the FHWA LTAP-TTAP Strategic Planning Committee, not currently on the EC, representing program initiatives;
- One At-Large Member representing Center Operations/Management; and
- One At-Large Member representing TTAPs.

After the winter business meeting, the Past-President will contact the committee members and establish a meeting schedule necessary to complete the committee’s work.

Any employee of an LTAP-TTAP Center in good standing with the Association is eligible to serve on the committee. Potential Nominating Committee members should be well acquainted with Association membership and current activities, be informed of the duties of the offices to be filled, understand the purpose and mission of the Association, and be willing to plan open-mindedly for its future progress by seeking out the best possible nominee(s) for the Vice Presidential office.

Identifying Vice Presidential and Other Open Office Candidates.

Nominating Committee discussions and nominations are kept confidential. Nominations for Vice President or other vacant officer positions should be solicited using an open and unbiased process.

By February 1, the Nominating Committee sends an open solicitation to the Association membership requesting prospective nominees and/or self-nominations for all vacant officer positions. The consent of the individual is not required for their name to be submitted to the committee as a possible nominee. The Nominating Committee is responsible for informing all nominees of their prospective nomination, provide a list of duties and expected time commitment for each officer level, and obtain permission from each nominee to be considered as a candidate.

A Sample Nomination Request Letter, Leader Nomination Form, and Election Ballot are provided below. Nomination forms will have the name of the nominating Center and contact removed prior to distribution.

Regardless of the number of suggested nominees received, the committee’s major responsibility is to secure the best qualified candidates for each office. The Nominating Committee identifies at least one well-qualified candidate for each open officer position, and submits the suggested slate of candidates, including qualifications, to the EC by April 1. The Nominating Committee Chair distributes the final slate of candidates and their qualifications to the NLTAPA member centers by June 1.

Nominating Committee Members as Nominees.

Robert's Rules of Order Newly Revised states "Members of the nominating committee are not barred from becoming nominees for the office themselves. To make such a requirement would mean, first, that service on the nominating committee carried a penalty by depriving its members of one of their privileges; and second, that appointment or election to the nominating committee could be used to prevent a member from becoming a nominee." The NLTAPA will follow this recommendation.

It is not uncommon for members of a nominating committee to be put forward as nominees. The Nominating Committee is only making recommendations. Members of the voting body have the final say in the election. NLTAPA also accepts nominations from the floor as write-in candidates during the election process.

Qualifications for Officer Nominees.

All suggested nominees should be considered thoughtfully and discussed freely. The following should be considered when reviewing each possible candidate.

- Does he/she have the ability and the commitment to promote the interests of the Association?
- What is his/her past experience and performance with their Center, NLTAPA EC, or program partners?
- Can he/she work in harmony with the NLTAPA EC, Association stakeholders and partners?
- What is his/her record of attendance and participation at Association meetings?
- Does he/she have knowledge of the Association's purpose, mission and activities?
- Does she have special talents or skills which would especially qualify her for this office?
- Does he have the personal qualities and professional integrity that will present and represent the Association well in the transportation community?

Contacting Potential Candidates.

The Nominating Committee chairperson or their designee is responsible for contacting the suggested nominees and request permission to place his/her name in for nomination. The potential candidates must be contacted to: 1) be informed of their prospective nomination, 2) be provided with a list of duties and expected time commitment for each of the levels they will serve, 3) obtain permission to be placed in for consideration, and 4) obtain further qualifications and other information in support of their nomination to complete the nomination form. The prospects should be given time to consider and agree to perform these duties. Those not wishing to serve at this time should be asked if they would consider a future nomination and such information be reported back to the EC.

Completion of Obligations.

The committee's work is completed when the election has been held and its report consisting of activities, election results and recommendations for improved operations has been submitted to the EC. The following is a timeline of the nomination & election process.

- By January 1 – NLTAPA President appoints the Nominating Committee
- By February 1 – Nominating Committee sends an open solicitation to the Association membership requesting prospective nominees and/or self-nominations for all vacant officer positions.
- By April 1 – Nominating Committee submits the suggested slate of candidates, including qualifications, to the EC.
- By June 1 – Nominating Committee Chair presents the final slate of candidates and their qualifications for election.
- On June 1, or next business day – NLTAPA Secretary electronically distributes the official ballot with voting instructions.
- On June 30 – Election closes and the ballots are counted.

- 2 days following close of voting – NLTAPA Secretary informs the EC and Nominating Committee of the outcome of the election.
- Summer Business Meeting – The Nominating Committee submits their final report to the NLTAPA EC and general membership. New officers are installed at the close of the Association summer business meeting at the LTAP-TTAP Conference.

SAMPLE NOMINATION REQUEST LETTER



NATIONAL LOCAL TECHNICAL ASSISTANCE PROGRAM ASSOCIATION

Dear NLTAPA members;

On behalf of the NLTAPA Nominating Committee, we invite you to submit your recommendations for individuals to serve as the 2014 Vice President of NLTAPA and continue through the three-year leadership track. It is intended for the elected candidate to become NLTAPA President at the summer business meeting of 2016.

The election of NLTAPA officers is one of the most important duties of the Association. Effective service to members depends in large measure on good leadership. Before nominating someone, please consider the following:

Duties of an NLTAPA officer, throughout the three year leadership track, are varied and considerable, and include but are not limited to:

- Chairing the NLTAPA Partnerships work group;
- Coordinating collection and presentation of Executive Committee work plans and budgets;
- Chairing the national LTAP-TTAP Conference Planning Committee and coordinating conference program and overall conference logistics;
- Representing NLTAPA on FHWA's Strategic Planning Committee and Clearinghouse Steering Committee;
- Chairing Executive Committee and Association meetings, being the spokesperson for the Association, and leading major initiatives for the Association;
- Being the Association's primary link with FHWA TPP program managers; and
- Ensuring the Association meets its responsibilities as stated in the Constitution and Bylaws.

These duties are shared by three people at any one time, but each person must be able to step into any of these roles if needed. Upon assuming office, the Vice President's main responsibilities are to chair the NLTAPA Partnerships Work Group and prepare the Executive Committee's annual budget and work plan for presentation at the winter business meeting held in January.

The Nominating Committee is interested in knowing the following about each nominee:

- Do they have the ability and the commitment to promote the interests of the Association?
- What is his/her past experience and performance with the LTAP-TTAP Program and/or NLTAPA Executive Committee?
- What is his/her record of attendance and participation at Association meetings?
- Does he/she have knowledge of the Association's purposes and programs?
- Can he/she work in harmony with the Executive Committee, FHWA and Association partners?
- Does he/she have special talents or skills that would especially qualify him/her for this office?

- Does he/she have the personal qualities and professional integrity that will represent the Association well in the transportation community?
- Can the nominee travel out-of-state as needed for Association business (such as travel sponsored by NLTAPA or FHWA TPP)?

How to Nominate

Any LTAP-TTAP director or staff member is encouraged to submit their recommendations for prospective nominees. Prior to nominating someone, the committee requests that you contact prospective nominees and obtain permission to place their name(s) in for nomination and/or to submit name(s) to the Nominating Committee Chair. Although preferred, the consent of the individual is not required for a recommendation to the Committee. Individuals may also self-nominate. Please submit nominations on the included *Officer Nomination Form*. All nominations will be kept confidential.

The Nominating Committee will contact the proposed candidates, gather qualifications and information and inform them of the duties, obligations and responsibilities of the office. Prospective nominees will be given time to consider and agree to perform these duties, if selected. From those agreeing to serve, the Nominating Committee will review all information and will propose a slate of candidates to the Executive Committee for their endorsement. Once endorsed, one ballot of candidates for all open office positions, along with qualifications, will be sent electronically by the Association Secretary to all NLTAPA member centers. The ballot will also provide space for a write-in candidate.

We hope you will give this request for nominations careful consideration and suggest one or more qualified nominees to us by March 14, 2014. Please send all nominations directly to me at groth020@umn.edu. We need your help to keep our Association productive and responsive to its members.

Sincerely,

Jim Grothaus, Committee Chair (Past NLTAPA President)

Committee Members:

Renée Railsback, CO LTAP (Current NLTAPA President)

David Waldo, AK LTAP (FHWA LTAP-TTAP Strategic Planning Committee)

Jaime Carreon, FL LTAP (One At-Large Member representing Center Operations/Management)

Karla Sisco, Southern Plains TTAP (One At-Large Member representing TTAP)

Titles in () represent required committee membership based on the NLTAPA Constitution and Bylaws.

SAMPLE NLTAPA LEADERSHIP NOMINATION FORM

Date:

Name of Nominee:

Telephone:

Fax:

Email:

LTAP-TTAP Center:

LTAP-TTAP Position Title:

Nominated by: _____

LTAP-TTAP Position and Center: _____

Please provide a brief description of your nominee for NLTAPA leadership by answering the following questions.

- 1) What are the specific abilities or talents of the candidate that would especially qualify him or her to serve NLTAPA and promote the interests of the Association?
- 2) What is the candidate's experience and performance with LTAP and NLTAPA?
- 3) Has the nominee participated in any regional or national level LTAP-TTAP activities?
- 4) How will the nominee's personal qualities and professional integrity allow the nominee to represent the Association in the transportation community?
- 5) Will the candidate be able to work in partnership with the Executive Committee, FHWA and Association Partners? Please describe.
- 6) Will the nominee be willing and able to perform some out of state travel as required to represent the Association? NOTE: NLTAPA officers are often asked to represent NLTAPA or LTAP-TTAP at meetings of national groups at varied locations. This is in addition to the 4 regular NLTAPA related meetings per year and may average one trip per month.

SAMPLE OFFICER ELECTION BALLOT



NATIONAL LOCAL TECHNICAL ASSISTANCE PROGRAM ASSOCIATION

Greetings NLTAPA members;

It's time again for an NLTAPA officer election. Below is the slate of candidates for 2012-2013. The following candidates were recommended by the Nominating Committee and approved by the EC.

There are 3 candidates for the Vice President position. Each candidate's nomination form and qualifications are attached for your review. You also have the option of a write-in candidate or self-nomination. If you choose to add a write-in candidate, please provide qualification information for that candidate.

Each LTAP-TTAP member center in good standing is entitled to submit **one** ballot. Ballots will only be considered valid for those Centers that have paid 2011-2012 dues *prior* to voting.

Please complete the ballot below and submit to [\[Name of NLTAPA Secretary or designee if Secretary is a candidate\]](#) by email **on or before June 30, 2011**. [\[Include the submission recipient's name and email here for ballot returns.\]](#)

2012 NLTAPA Vice President Ballot

_____ Victoria Beale, Ohio LTAP Director

_____ Matheu Carter, Delaware T2 Circuit Rider

_____ Valerie Pitts, Kentucky T2 Administrative Services

_____ Write-in Candidate _____

(Please provide qualifications for write-in candidate.)

Person Casting Vote: _____

Representing Center: _____

*James Martin, President
North Carolina LTAP*

*Jim Grothaus, President Elect
Minnesota LTAP*

*Renee Railsback, Vice President
Colorado LTAP*

*Jim Self, Treasurer
Oklahoma TTAP*

www.NLTAPA.org

*Valerie Pitts, Secretary
Kentucky LTAP*

APPENDIX B: W-9 for National Association of Transportation Technology Transfer Centers

Form W-9 (Rev. December 2011) Department of the Treasury Internal Revenue Service	Request for Taxpayer Identification Number and Certification	Give Form to the requester. Do not send to the IRS.
Name (as shown on your income tax return) National Association of Transportation Technology Transfer Centers		
Business name/d disregarded entity name, if different from above National Local Technical Assistance Program Association		
Check appropriate box for federal tax classification: ☐ Individual sole proprietor ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate ☐ Limited liability company. Enter the tax classification (C=S corporation, S=S corporation, P=partnership) ☒ Exempt payee ☐ Other (see instructions) Requester's name and address (optional)		
Address (number, street, and apt. or suite no.) P.O. Box 24 City, state, and ZIP code Nashport, OH 43830 EIN account number(s) here (optional)		
Part I Taxpayer Identification Number (TIN) Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3. Note: If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.		
Social security number 		Employer identification number 38 - 1735773
Part II Certification Under penalties of perjury, I certify that: 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below). Certification instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.		
Sign Here Signature of U.S. person <i>Victor L. Beale</i>	Date <i>10/5/12</i>	
General Instructions Section references are to the Internal Revenue Code unless otherwise noted.		
Purpose of Form A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to: 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued), 2. Certify that you are not subject to backup withholding, or 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.		
Note: If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9. Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are: • An individual who is a U.S. citizen or U.S. resident alien, • A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, • An estate (other than a foreign estate), or • A domestic trust (as defined in Regulations section 301.7701-7). Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.		

Cat. No. 10221X

Form W-9 (Rev. 12-2011)